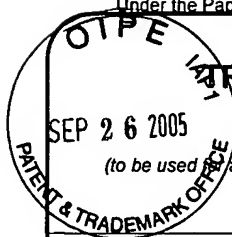


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**TRANSMITTAL FORM**

(to be used for all correspondence after initial filing)

|  |   |                      |                              |
|--|---|----------------------|------------------------------|
| Total Number of Pages in This Submission | 4 | Application Number   | 10/727,826 (US 6,947,235 B2) |
|  |   | Filing Date          | 12/03/2003                   |
|  |   | First Named Inventor | Manfred Albrecht             |
|  |   | Art Unit             | 2651                         |
|  |   | Examiner Name        | MERCEDES, DISMERY E          |
| Attorney Docket Number                   |   | HSJ920030213US1      |                              |

**ENCLOSURES** (Check all that apply)

|  |  |   |
|--|--|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment/Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation<br><input type="checkbox"/> Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance communication to Technology Center (TC)<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): |
| <b>Remarks</b><br>This is a REQUEST FOR CERTIFICATE OF CORRECTION OF APPLICANT'S MISTAKE (37 CFR §1.323).<br>A Fee Transmittal Form for the fee prescribed by 37 CFR §1.20(a) is enclosed.   |  |   |

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

|                         |                                      |   |
|-------------------------|--------------------------------------|---|
| Firm or Individual name | Thomas R. Berthold, Reg. #28,689     | <b>Certificate</b><br>SEP 30 2005<br><b>of Correction</b> |
| Signature               | / Thomas R. Berthold, Reg. #28,689 / |   |
| Date                    | 9/22/2005                            |   |

**CERTIFICATE OF TRANSMISSION/MAILING**

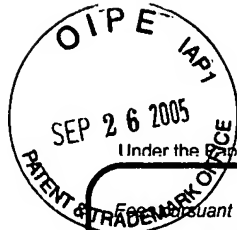
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

|                       |                                      |      |           |
|-----------------------|--------------------------------------|------|-----------|
| Typed or printed name | Thomas R. Berthold, Reg. #28,689     |      |           |
| Signature             | / Thomas R. Berthold, Reg. #28,689 / | Date | 9/22/2005 |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

OCT 4 2005



Effective on 12/08/2004.

Pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

**FEE TRANSMITTAL**  
**For FY 2005**☐ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT** (\$) 100**Complete if Known**

|                      |                              |
|----------------------|------------------------------|
| Application Number   | 10/727,826 (US 6,947,235 B2) |
| Filing Date          | 12/03/2003                   |
| First Named Inventor | Manfred Albrecht             |
| Examiner Name        | MERCEDES, DISMERY E          |
| Art Unit             | 2651                         |
| Attorney Docket No.  | HSJ920030213US1              |

**METHOD OF PAYMENT (check all that apply)**☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): \_\_\_\_\_☒ Deposit Account Deposit Account Number: 502587 Deposit Account Name: Hitachi Global Storage Technologies

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**FEE CALCULATION****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

| Application Type | FILING FEES |                       | SEARCH FEES |                       | EXAMINATION FEES |                       | Fees Paid (\$) |
|------------------|-------------|-----------------------|-------------|-----------------------|------------------|-----------------------|----------------|
|                  | Fee (\$)    | Small Entity Fee (\$) | Fee (\$)    | Small Entity Fee (\$) | Fee (\$)         | Small Entity Fee (\$) |                |
| Utility          | 300         | 150                   | 500         | 250                   | 200              | 100                   |                |
| Design           | 200         | 100                   | 100         | 50                    | 130              | 65                    |                |
| Plant            | 200         | 100                   | 300         | 150                   | 160              | 80                    |                |
| Reissue          | 300         | 150                   | 500         | 250                   | 600              | 300                   |                |
| Provisional      | 200         | 100                   | 0           | 0                     | 0                | 0                     |                |

**2. EXCESS CLAIM FEES**

| Fee Description   | Fee (\$) | Small Entity Fee (\$) |
|---|----------|-----------------------|
| Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent            | 50       | 25                    |
| Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent | 200      | 100                   |
| Multiple dependent claims   | 360      | 180                   |

|                     |                     |                 |                      |                                  |                 |                      |
|---------------------|---------------------|-----------------|----------------------|----------------------------------|-----------------|----------------------|
| <u>Total Claims</u> | <u>Extra Claims</u> | <u>Fee (\$)</u> | <u>Fee Paid (\$)</u> | <u>Multiple Dependent Claims</u> | <u>Fee (\$)</u> | <u>Fee Paid (\$)</u> |
| 6 - 20 or HP =      | 0                   | x \$50 =        | \$0                  |                                  |                 |                      |

HP = highest number of total claims paid for, if greater than 20

|                      |                     |                 |                      |
|----------------------|---------------------|-----------------|----------------------|
| <u>Indep. Claims</u> | <u>Extra Claims</u> | <u>Fee (\$)</u> | <u>Fee Paid (\$)</u> |
| 2 - 3 or HP =        | 0                   | x \$200 =       | \$0                  |

HP = highest number of independent claims paid for, if greater than 3

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

|                     |                     |   |                 |                      |
|---------------------|---------------------|---|-----------------|----------------------|
| <u>Total Sheets</u> | <u>Extra Sheets</u> | <u>Number of each additional 50 or fraction thereof</u> | <u>Fee (\$)</u> | <u>Fee Paid (\$)</u> |
| - 100 =             | / 50 =              | (round up to a whole number) x                          | =               |                      |

**4. OTHER FEE(S)**

Non-English Specification, \$130 fee (no small entity discount)

Other: CERTIFICATE OF CORRECTION OF APPLICANT'S MISTAKE (37 CFR §1.20(a))

\$100

**SUBMITTED BY**

|                   |                                      |                                   |           |           |                |
|-------------------|--------------------------------------|-----------------------------------|-----------|-----------|----------------|
| Signature         | / Thomas R. Berthold, Reg. #28,689 / | Registration No. (Attorney/Agent) | 28,689    | Telephone | (408) 396-8411 |
| Name (Print/Type) | Thomas R. Berthold, Reg. #28,689     | Date                              | 9/22/2005 |           |                |

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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OCT 4 2005



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent No.: 6,947,235 B2

Art Unit: 2651

Issue Date: Sep. 20, 2005

Examiner: MERCEDES, DISMERY E

First Named Inventor: Manfred Albrecht

Date: September 22, 2005

Title: **PATTERNED MULTILEVEL PERPENDICULAR MAGNETIC RECORDING MEDIA**

**REQUEST FOR CERTIFICATE OF CORRECTION OF APPLICANT'S MISTAKE**  
**(37 CFR §1.323)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Please issue a Certificate of Correction for Applicant's mistake as indicated on the enclosed form. The Certificate of Correction is for a clerical and/or typographical error made in the numbering of the claims, as is readily apparent from the claims themselves, and is authorized under 35 U.S.C. 255. A Fee Transmittal Form for the fee prescribed by 37 CFR §1.20(a) is enclosed.

Respectfully submitted,

**/ Thomas R. Berthold, Reg. No. 28,689 /**

Thomas R. Berthold, Attorney for Patentee  
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Saratoga, CA 95070-4570  
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09/28/2005 EAYALEW1 00000075 502587 6947235

01 FC:1811 100.00 DA

**UNITED STATES PATENT AND TRADEMARK OFFICE  
CERTIFICATE OF CORRECTION**

PATENT NO. : 6,947,235 B2

DATED : Sep. 20, 2005

INVENTOR(S) : Manfred Albrecht, Olav Hellwig, Guohan Hu, Bruce David Terris

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

In Claim 34 (column 12, line 27), delete the numeral "21" and replace with the numeral "33".

**MAILING ADDRESS OF SENDER:**

Thomas R. Berthold (Reg. No. 28,689)  
18938 Congress Junction Ct.  
Saratoga, CA 95070-4570

PATENT NO. 6,947,235

No. of additional copies



This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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OCT 4 2005